January 2021 Items Index (In order of appearance)

- 1. Agencies Issue Final Rule on Regulatory Capital Requirements for Certain Investments of Unsecured Debt Instruments.
- 2. Agencies Issue Small Bank and Intermediate Small Bank CRA Asset-Size Thresholds.
- 3. Agencies Adjust CMPs for Inflation.
- 4. Agencies Adopt Final Guidance for Resolution Plan Submissions of Certain Foreign-Based Covered Companies.
- 5. Agencies Seek Comment on Revision to Call Report Forms.
- 6. CFPB Publishes 2021 HMDA Exemption Threshold.
- 7. CFPB Publishes 2021 HMPL Escrow Exemption Threshold.
- 8. CFPB Publishes 2021 FCRA Maximum Allowable Charge.
- 9. CFPB Publishes QM Final Rules.
- 10. FRB Revises Reserve Requirements for Depository Institutions.
- 11. FRB Releases Risk-Based Capital Surcharges for Global Systemically Important Bank Holding Companies.
- 12. FDIC Announces Intent to Terminate Receiverships.
- 13. OCC Issues Licensing Amendments.
- 14. OCC Issues Final Rule Regarding Activities and Operations of National Banks and Federal Savings Associations.
- 15. OCC Seeks Comment on Renewal of CRA Information Collection Survey.
- 16. HUD Issues Final Rule on Section 542(c) Housing Finance Agency Risk Sharing Program.
- 17. FEMA Issues Final Rules on Suspensions of NFIP Community Eligibility.
- 18. FEMA Issues Final Flood Hazard Determinations.
- 19. FEMA Issues Notices of Changes in Flood Hazard Determinations.
- 20. FEMA Issues Proposed Flood Hazard Determinations.
- 21. FEMA Proposes Revisions to Cost of Assistance Estimates in Disaster Declaration Process for Public Assistance Program.
- 22. IRS Issues Procedures for Identification and Recovery of Misdirected Direct Deposit Refund.

- 23. IRS Issues Final Rule Regarding Certain Employee Remuneration.
- 24. IRS Issues Small Business Taxpayer Exceptions.
- 25. IRS Issues Rollover Rules for Qualified Plan Loan Offset Amounts.
- 26. IRS Issues Final Rule Regarding Timing of Income Inclusion Under Accrual Method of Accounting.
- 27. IRS Proposes User Fee for Estate Tax Closing Letter.
- 28. FinCEN Proposes Banks and MSBs Submit Reports and Keep Records Regarding Convertible Virtual Currency.
- 29. FinCEN Seeks Comments on Existing BSA Information Collections.
- 30. FHFA Establishes Enterprise Regulatory Capital Framework.
- 31. FHFA Issues Final and Advanced Proposed Enterprise Housing Goals Rules.
- 32. FHFA Issues Proposed Rule to Implement Enterprise Liquidity Requirements.
- 33. FHFA Issues Proposed Rule to Require Enterprises to Develop Resolution Plans.
- 34. SBA Issues Final Rule to Rescind Express Loan Program.
- 35. SBA Issues Interim Final Rule to Implement Economic Aid Act Changes to PPP.
- 36. SBA Issues Interim Final Rule to Implement PPP Second Draw Loan Program.
- 37. SBA Issues Quarterly Peg Rate.
- 38. SBA Proposes Initiative to Streamline and Modernize the 7(a), Microloan, and 504 Loan Programs to Reduce Regulatory Burden.
- 39. SBA Reopens Comment Period of PPP Forms 3509 and 3510.
- 40. SBA Issues ANPR to Change its Structure of Secondary Market 7(a) Loan Pool Security.
- 41. FCA Announces Effective Dates for Previously Published Final Rules.
- 42. FSA Issues Final Rule Regarding Agricultural Disaster Indemnity Programs.
- 43. Agencies Seek Applications for Various FY 2021 Programs.
- 44. Agencies Issue Correction to OneRD Guarantee Fees.
- 45. SEC Issues Accountant Qualification Final Rule.
- 46. SEC Issues Final Rule Regarding Use of Derivatives by Registered Investment Companies and Business Development Companies.
- 47. SEC Issues Delegation of Authority to Director of the Division of Enforcement.
- 48. SEC Revises Rules of Practice to Require Electronic Filings.

- 49. SEC Issues Final Rule on Good Faith Determination of Fair Value.
- 50. SEC Proposes Modernization of Rules and Forms for Compensatory Securities Offerings and Sales.
- 51. SEC Seeks Comment on Amendments to Alternative Trading Systems.
- 52. SEC Proposes Temporary Rule to Include Certain "Platform Workers" in Compensatory Offerings Under Rule 701 and Form S-8.
- 53. CFTC Issues Swap Execution Facility Requirements.
- 54. CFTC Issues Final Margin Rule.
- 55. CFTC Issues Exemptions from Derivatives Clearing Organization Registration.
- 56. CFTC Issues Interim Final Rule to Amend Definition of Material Terms.
- 57. FCC Proposes Process to Submit Information About Violations of the TRACED Act.
- 58. NCUA Extends Temporary COVID-19 Related Relief.
- 59. NCUA Amends Fees Paid by FCUs.
- 60. NCUA Issues Methodologies for Overhead Transfer Rate and Operating Fee Schedules.
- 61. NCUA Issues Proposal to Allow FCUs to Purchase Mortgage Servicing Rights from Federally-Insured Credit Unions.
- 62. NCUA Seeks Comment on Revision to Corporate Credit Union Monthly Call Report and Annual Report to Officers.
- 63. NCUA Seeks Comment on Communication and Transparency.
- 64. Bureau of Indian Affairs Proposes Modernization of Land Title and Records Office.
- 65. FASAB Seeks Comment on Annual Report and Three-Year Plan.
- 66. DOL Amends Fiduciary Duties Regarding Proxy Voting and Shareholder Rights.
- 67. DOL Issues Final Rule Regarding Independent Contractor Status under Fair Labor Standards Act.